



## QUESTIONNAIRE FOR THE ASSESSMENT OF THE RISK PROFILE OF THE INVESTOR

FINPROP Capital – SGOIC, S.A., (“FINPROP”) is a company duly constituted and validly operating under the Portuguese law, with registered office at Rua Eugénio de Castro, nº 352, 1º, 4100-225 Porto, registered at the Commercial Registry Office under the single registration and corporate number 516546660, with a share capital of EUR 125,000 (one hundred and twenty-five thousand euros). FINPROP is a collective investment management company (“Sociedade Gestora de Organismos de Investimento Colectivo” or “SGOIC”) and has, under the terms of article 6 nº 1, a) of the Asset Management Regime, approved by Decree-Law nº 27/2023 of 28 April, (“RGA”), the activity of management of all types of collective investment undertakings.

Before the acceptance of a subscription of capital of a specific fund under management, FINPROP should assess if the investor’s risk profile and nature match such fund’s risk exposure and subscription requirements. The assessment of the investor’s risk profile will be performed based on the information shared by the investor, his experience and knowledge regarding the investment, his financial position, risk tolerance and investment goals.

### INVESTOR IDENTIFICATION

NAME: \_\_\_\_\_

TAX NUMBER (or equivalent):

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### KNOWLEDGE AND EXPERIENCE

1. What is your highest level of education completed?

- 1. Primary school or some high school
- 2. Secondary or high school; Trade or technical certification; Bachelor or undergraduate degree; Mphil, master or PhD degree in subjects different from Economics, Management or Finance
- 3. Mphil, master or PhD degree in the following subjects: Economics, Management or Finance

2. What is your professional experience?

- 1. Never worked or performed functions in areas related with finance and economics
- 2. Work or have worked in areas related with finance and economics
- 3. Work or have worked in the financial sector for at least one year in a position that requires the use of financial knowledge



3. Which one of the following options best describes your knowledge and experience as investor?

- 1. I do not have any knowledge about financial markets and do not follow their performance
- 2. I have some knowledge about financial markets and sometimes follow their performance
- 3. I have knowledge about financial markets, follow their performance and manage my investments

4. Let us know if you have dealt in following instruments and understand the risks of investing in them:

- 1. Deposits, Money market instruments and short-term treasury bills
- 2. Funds of bonds or bonds.
- 3. Funds of bonds and equities and equity funds
- 4. Equities / shares
- 5. Derivatives (warrants, options or futures)

#### **FINANCIAL POSITION AND INVESTMENT OBJECTIVES**

5. What is your annual income of your household?

- 1. Less than € 50,000
- 2. Between € 50,001 and € 100,000
- 3. Between € 100,001 and € 500,000
- 4. Higher than € 500,001

6. What is the main driver behind your current investment strategy?

- 1. Retirement
- 2. Diversification of the portfolio
- 3. Hedge market risk
- 4. Investment

7. What is your main investment objective?

- 1. Capital preservation
- 2. Small capital appreciation or stable and secure income with limited downside exposure
- 3. Capital appreciation

8. When making an investment, I plan to keep the money invested for?

- 1. Invested for a period up to five years



- 2. Invested for a period between five to ten years
- 3. Invested for a period longer than ten years

### **RISK TOLERANCE AND AVERSION**

9. What is your opinion about the following statement?

*“In order to achieve higher returns, on the long-term, I’m willing to take more risk and be exposed to higher downside risk” sustainability*

- 1. Strongly disagree
- 2. Disagree
- 3. Partially agree
- 4. Agree

### **SUSTAINABILITY**

10. Do you have preferences in terms of sustainability?

- 1. No
- 2. Yes

If “Yes”, what is your preference in terms of sustainable investments:

- Environmental investments
- Social
- Sustainable companies
- ESG compliant funds
- Green investment

By signing below, I confirm that I read and understand the information requested and provided in this questionnaire and I agree that it is adequate and sufficient.

Signature: \_\_\_\_\_

Name (block capitals) \_\_\_\_\_

Date \_\_\_\_\_



To be completed by FINPROP:

FINPROP informs that the investor has been classified as **non-professional**.

In terms of financial knowledge and experience, the investor has been classified as:

- Beginner:** does not have financial knowledge or experience. The investor has a poor understanding of the features and risks of investing in collective investment schemes. The investor does not have the required knowledge to make an informed investment decision.
- Elementary:** the investor has basic financial knowledge or experience. The investor has some understanding of the features and risks of investing in collective investment schemes. The investor does have the required knowledge to make an informed investment decision.
- Intermediate:** the investor has intermediate financial knowledge or experience. The investor has a good understanding of the features and risks of investing in collective investment schemes. The investor has the required knowledge to make an informed investment decision.
- Expert:** the investor has expert financial knowledge and experience. The investor has an advanced understanding of the features and risks of investing in collective investment schemes. The investor has advanced knowledge to make an informed investment decision.

In terms of risk profile, FINPROP classifies the investor has:

- Conservative:** invests in instruments that allow for capital preservation. The investor is risk averse and prefers secure and liquid investments, thus investing in capital guaranteed, short-term and low or secure-income instruments.
- Balanced:** is an investor that invests in instruments that allow for capital preservation but is prepared to take longer investment horizons and accommodates some downside risk without selling or adjusting the portfolio.
- Dinamic:** is an investor that requires a return higher than the market, is willing to explore a variety of investments and adopts a strategy that combines medium- and long-term investments. Is less risk-averse and gets exposure to instruments with higher downside-risk.
- Agressivo:** is an investor that follows a high return investment strategy with an aggressive risk profile, being prepared to experience high loss in the capital invested in order to achieve high returns.

Finprop Capital SGOIC, S.A.

Date

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